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**PATENT/Docket No. PC20702C**  
Appl. No. 10/801897  
Filing Date: March 15, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : J-L Dasseux et al.  
Serial Number : 10/801897  
Filed : March 15, 2004  
For : **APOLIPOPROTEIN A-I AGONISTS AND THEIR USE TO TREAT  
DYSLIPIDEMIC DISORDERS**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COMMUNICATION

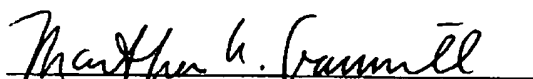
Sir:

The Assignee(s) for the above-referenced United States Patent Application has been stated incorrectly in the Pair system and on the published application as "Esperion Therapeutics, Inc." This communication is to correct the Assignee(s). The correct Assignee(s) of record are located at Reel 012153/ Frame 0214, and are as follows. Please ensure the USPTO's records and the printed patent reflect this information.

Dasseux, Jean-Louis, 7898 Huron Oak Drive, Brighton, Michigan 48116  
Sekul, Renate, Wichernstr. 13, Ladenburg, Germany D-685  
Buttner, Klaus, Eichendorffstr. 6, Epfenbach, Germany D-746  
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If there is a further discrepancy please contact the undersigned.

Respectfully submitted,

  
Martha A. Gammill, Attorney  
Registration No. 31820

Date: 12/22/06

Pfizer Inc., Patent Department  
2800 Plymouth Road  
Ann Arbor, MI 48105  
Telephone No. (734) 622-5940  
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FORM AMEND  
Rev. 5/27/03

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/081897	<b>RECEIVED</b> <b>CENTRAL FAX CENTER</b>  <b>DEC 22 2006</b>
	Filing Date	March 15, 2004	
	First Named Inventor	J-L Dasseux	
	Art Unit	1854	
	Examiner Name	Jeffrey E. Russel	
Total Number of Pages in This Submission	4	Attorney Docket Number	PC20702C

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTOL-85 (Issue Fee Payment) - 1 Page Communication - 1 Page Fee Address Indication Form - 1 Page
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Pfizer Inc		
Signature	<i>Martha A. Gammill</i>		
Printed name	Martha A. Gammill		
Date	12/22/06	Reg. No.	31820

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature	<i>Valerie Schipper</i>	
Typed or printed name	Valerie Schipper	Date
		22 Dec. 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## PART B - FEE(S) TRANSMITTAL

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Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
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or **Fax** (571)-273-2885

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Pfizer Inc., Patent Department  
2800 Plymouth Road  
Ann Arbor, MI 48105

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Valerie Schipper	(Depositor's name)
<i>Valerie Schipper</i>	(Signature)
22 December 2006	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10601897	03/15/2004	Jean-Louis Dasseux	PC20702C	4097

TITLE OF INVENTION:

Apollipoprotein A-I Agonists And Their Use To Treat Dyslipidemic Disorders

01/05/2007 TBESHAH2 00000034 230455 10801897

01 FC:1501 1400.00 DA

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	01/03/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
Russel, Jeffrey E	1654	514-012000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Martha A. Gammit

2. Charles W. Ashbrook

3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Jean Louis Dasseux, Renate Sekul, Klaus Buttner

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Michigan, Germany, Germany, France, Germany, France

Isabelle Cornut, Gunther Metz, Jean Dufourcq

Please check the appropriate assignee category or categories (will not be printed on the patent): ☒ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 230455 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☒ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature *Martha A. Gammit*

Date 12/21/06

Typed or printed name Martha A. Gammit

Registration No. 31820

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